Cybersecurity Insurance Compliance Checklist

The following questions represent the core components necessary for Cybersecurity Insurance compliance. Please check off as applicable to self-evaluate your practice or organization.

☐ **Have you conducted the following 6 required annual Audits/Assessments?**

- ☐ Security Risk Assessment
- ☐ Privacy Assessment
- ☐ HITECH Subtitle D Audit
- ☐ Security Standards Audit
- ☐ Asset and Device Audit
- ☐ Physical Site Audit

☐ **Have you identified all gaps uncovered in the audits above?**

- ☐ Have you documented all deficiencies?

☐ **Have you created remediation plans to address deficiencies for the following?**

- ☐ Security Risk Assessment
- ☐ Privacy Assessment
- ☐ HITECH Subtitle D Audit
- ☐ Security Standards Audit
- ☐ Asset and Device Audit
- ☐ Physical Site Audit

☐ **Do you have Policies and Procedures, Security, and Breach Notification Rules?**

- ☐ Have all staff members read and legally attested to the Policies and Procedures?
- ☐ Do you have documentation of their legal attestation?
- ☐ Do you have documentation for annual reviews of your Policies and Procedures?

☐ **Have all staff members undergone security awareness training?**

- ☐ Do you have documentation of their training?
- ☐ Is there a staff member designated as the Compliance, Privacy, and/or Security Officer?

☐ **Have you identified all Business Associates (and Confidentiality Vendors? New box?)**

- ☐ Do you have Agreements in place with all Business Associates?
- ☐ Have you audited your Business Associates to ensure that they are compliant?
- ☐ Are you tracking and reviewing your Agreements annually?
- ☐ Do you have Confidentiality Agreements with those who are not considered Business Associates?

☐ **Do you have a defined process in the event of incidents or breaches?**

- ☐ Do you have the ability to track and manage the investigations of all incidents?
- ☐ Are you able to provide the required reporting of minor or meaningful breaches or incidents?
- ☐ Do your staff members have the ability to anonymously report an incident?

INSURANCE CLAIM TIP: If you file a claim, you must provide all documentation in an eligible format to insurance auditors.

Cyberinsurance can be overwhelming. Work with Compliancy Group to make sure you have everything in place. Contact us at 856-800-XACT or marketing@xitx.com

*This checklist is composed of general questions about the measures your organization should have in place to state that you are compliant, and does not qualify as legal advice. Successfully completing this checklist **DOES NOT** certify that you or your organization are compliant.*